PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 8: G02B 5/20		(11) International Publication Number: WO 99/67664			
		(43) International Publication Date: 29 December 1999 (29.12.99)			
(21) International Application Number: PCT/AU (22) International Filing Date: 26 May 1999 (2)		BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU,			
(30) Priority Data: PP 4258 22 June 1998 (22.06.98)	Α	Published With international search report.			
(71) Applicant (for all designated States except US): THE USITY OF SYDNEY [AU/AU]; Parramatta Road, NSW 2006 (AU). (72) Inventors; and					
(75) Inventors/Applicants (for US only): POLADIAI [AU/AU]; 4/1 Charlton Way, Glebe, NSW 203 SCEATS, Mark [AU/AU]; 74 Lamb Street, Lilyfie 2040 (AU).	37 (AL	J).			
(74) Agent: GRIFFITH HACK; Patent & Trade Mark A G.P.O. Box 4164, Sydney, NSW 2001 (AU).	ttorney	ys,			
(54) Title: AN IMPROVED GRATING WRITING SYST	TEM				

(57) Abstract

A method of forming a complete grating structure on a photosensitive waveguide is disclosed comprising the steps of: (a) writing an initial portion of the grating structure on the waveguide; (b) testing the properties of the initial portion to determine a series of parameters of the initial portion; (c) utilizing the parameters to alter the characteristics of a subsequently written portion of the grating structure to provide for an improved form of grating structure; (d) iterating the steps (a) to (c) so as to form the complete grating structure. The writing can be performed utilizing a coherence pattern formed from the interference of two coherent beams on the waveguide. The characteristics can include the intensity or phase of the subsequently written portion. The testing may include determining the spectral reflectance response of the initial portion or determining the spectral phase delay of the initial portion.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

L	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ΑT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	18	Iceland	MW	Malawi	US	United States of America
CA	Canada	ľT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Vict Nam
CG	Congo	KE	Кепуа	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KР	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ.	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

An Improved Grating Writing System

Field of the Invention

5

10

15

20

25

35

The present invention relates to the construction of grating and other structures in photosensitive waveguides such as optical fibre devices.

Background of the Invention

The creation of a grating in a photosensitive waveguide material utilising the interference pattern from two interfering coherent UV beams is well known. This technique for construction of Bragg gratings is fully described in US Patent No. 4,725,110 issued to W H Glenn et. al. and US Patent No. 4,807,950 issued to W H Glenn et. al.

Bragg grating structures have become increasingly useful and the demand for longer and longer grating structures having higher and higher quality properties has lead to the general need to create improved grating structures.

PCT patent application No. PCT/AU96/00782 by Ouellette et. al. discloses an improved low noise sensitivity interferometric arrangement which operates on a "Sagnac loop" type arrangement.

Australian Provisional Patent Application No. PP3816 by Stepanov et. al., assigned to the present applicant, discloses an advanced form of interferometric writing system utilising a modulator to control the position of an interference pattern on a fibre.

Unfortunately, there is an ever present need for providing further improved grating structures.

30 Summary of the Invention

It is an object of the present invention to provide for an improved grating writing system.

In accordance with a first aspect of the present invention, there is provided a method of forming a complete grating structure on a photosensitive waveguide comprising the steps of: (a) writing an initial portion of the grating

5

10

15

20

25

30

structure on the waveguide; (b) testing the properties of the initial portion to determine a series of parameters of the initial portion; (c) utilizing the parameters to alter the characteristics of a subsequently written portion of the grating structure to provide for an improved form of grating structure; (d) iterating the steps (a) to (c) so as to form the complete grating structure.

The writing can be performed utilizing a coherence pattern formed from the interference of two coherent beams on the waveguide. The characteristics can include the intensity or phase of the subsequently written portion. The testing may include determining the spectral reflectance transmittance, or group delay response of the initial portion and of subsequently written portions.

The step (a) further can comprise the step of locally perturbing the intensity, phase or frequency of the grating structure and the step (b) can comprise measuring the local perturbation. The local perturbation can comprise a periodic modulation and the testing step further can comprise measuring sum and difference frequencies for the modulation.

The testing can comprise averaging portions of the reflectance spectrum, transmittance spectrum and group delay spectrum of the initial portion and of subsequently written portions.

Brief Description of the Drawings

Notwithstanding any other forms which may fall within the scope of the present invention, preferred forms of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Fig. 1 illustrates schematically the arrangement of a first embodiment of the present invention; and

Fig. 2 illustrates and arrangement of a second embodiment of the present invention.

35 Description of Preferred and Other Embodiments

5

10

15

20

25

30

35

In the preferred embodiment, the process of writing of a grating structure is monitored via a feedback loop and the feedback loop is utilised to alter the writing parameters so as to provide for a high quality grating structure.

In the known systems aforementioned, the grating writing system is utilised to write a local region of the grating and various techniques disclosed in the aforementioned applications are utilised to extend the grating structure. When utilising these methods, a local region of the grating is typically written to give a local Bragg wavelength L(z) of a strength K(z). The desired wavelength of L(z) can be set by the control of the interferometric arrangement and the strength K(z) can be determined by the residence time of the writing beam on the fibre in addition to the writing beam strength.

With any physical arrangement however certain errors are likely to occur due to the non-ideal conditions. For example, variations in the interferometer may give an error in the pitch. Variations in the laser properties and the propagating mode effective index are also likely to cause further noise. These deviations are generally not predictable and may have significant effects in the grating properties, for example in the Q of a high finesse cavity or the group delay ripple of a dispersion compensator. These errors will lead to an actual Bragg wavelength L'(z) and strength K'(z). Hence, the error $\delta L(z)$ and $\delta K(z)$ can be given as follows:

$$\delta L(z) = L'(z) - L(z)$$

 $\delta K(z) = K(z) - K(z)$

In the embodiment of the invention a feedback loop is provided so as to provide for an ongoing monitoring of the state of the grating structure. The feedback loop can be utilised in many different ways but preferably is provided in a real time manner so as to modify the written spectrum

WO 99/67664 PCT/AU99/00403

to provide for an improved result.

10

15

20

25

30

Turning initially to Fig. 1, there is illustrated a first embodiment which comprises an interferometric grating writing apparatus constructed in accordance with the principles as outlined in the aforementioned disclosure by Glenn et al as modified by Stepanov et al. In this arrangement 1, a UV laser 2 outputs a beam which is modulated by a modulating element 3. The output from modulator 3 is forwarded to a beam splitting device 4 which splits the input beam into two beams 5, 6. The beams 5, 6 are reflected by mirrors 8, 9 so that they interfere in a grating writing region 10 at which is placed a fibre 11 into which a grating is to be written. In the aforementioned arrangement by Stepanov et al a phase modulator 12 is utilised so as to modify the phase of the two beams 5, 6 so that the interference pattern remains stable in the reference frame of the fibre 11 as it is transported in the direction 13. In this embodiment, one end 14 of the fibre 11 is interconnected to an analyser 16 which can analyse and digitally record the desired reflection characteristics. Preferably, the analyser 16 is connected to the end of fibre 11 to which new portions of the grating are written. Alternatively, the analyser 16 can be connected to the other end of the fibre, or two analysers can be simultaneously connected at both ends of the fibre. The analyser or analysers measure the reflection spectrum or a portion thereof or they measure the transmittance spectrum or a portion thereof or they measure the group delay spectrum or a portion thereof. analyser 16 is connected to a computer system 17 which performs an analysis of the characteristics. The computer system 17 is adapted to select the portion or portions of the reflectance, transmittance or group delay spectra which best monitors the state of the grating structure.

35 The computer system 17 is adapted to control various devices so as to control the phase and intensity of the

interference pattern 10. These devices can include the intensity modulator 3, the phase modulator 12 and translatable stages as indicated schematically 19, 20 upon which the mirrors 8, 9 can be mounted. The computer system 17 can thereby control the phase and intensity of the interference pattern in the region 10 at any particular stage. The computer system 17 can utilise various techniques in changing the intensity and power of the interference pattern in the region 10. In a first simple approach, the reflection spectrum, transmission spectrum group delay spectrum or portion thereof can be measured and compared with a predicted spectrum. The comparison can then be utilised to determine any change in the intensity and phase requirements which would provide improved results. Of course, the technique of maintaining a substantially stable interference pattern in the reference frame of the fibre 11 is through the saw tooth modulation of the phase delay element 12 as disclosed in Stepanov is preferably also utilised.

5

10

15

20

25

30

35

Alternative techniques can also be employed. For example, the grating pattern itself can be locally modulated by a small degree in intensity or phase at the point at which the grating is being written. The local wavelength and strength can then be sensed via examination of the modulation reflection spectrum denoted R(z,L_o) and, if required, the modulation group delay spectrum P(z,L_o) where L_o is the wavelength of the probe beam utilised by spectrum analyser 16. Preferably, to maintain the maximum effect, the spectra can be measured from the end of the fibre which is being written such that light does not have to pass through the previously written grating to reach a region currently being processed by UV radiation.

The response of the spectra will most likely be largest when the local Bragg wavelength L is equal to L_0 . Hence, dithering of L_0 will provide a signal which gives a maximum response.

More generally, the predicted response can be readily estimated from the knowledge of the previously written L(z'), K(z') for z' < z, so that a predictor-corrector algorithm can be run in real time to ensure that L(z) is exact and the illumination is terminated when K(z) reaches the desired value.

5

10

15

20

25

30

35

Given this approach, it is necessary to determine how to apply a modulation of the grating at the point of writing. There are many approaches - use of strain, temperature etc which can be locally induced by a number of means. However, many of these will perturb the interferometer (vibration, thermal gradients etc.) and this is not wise. The interferometer arrangement of mirrors 8, 9 can be modulated (eg the angle) but this may cause a loss in contrast of the written grating.

The preferred method is to modulate the intensity of the writing UV beam by a small amount using intensity modulator 3. It is thought that there is both a permanent grating being written as well as a transient grating (at the same pitch) which decays on the time scale of microseconds as the UV induced defects recombine. Provided that the modulation frequency is les than the relaxation rate, and larger than the rate of traverse of the UV beam over the writing point, then the modulation spectra can provide an accurate measure of L(z) and K(z) through real time computation. There will be a small decrease in the transient response as the grating saturates, but this should be calibrated for. Intensity modulation can be added to the UV beam by adding a high frequency modulation to the AO modulator 3 (or whatever is used).

Alternatively, the spectrum analyser 16 could be simplified such that the average spectrum was measured along with the modulation component (by phase sensitive detection). These signals would be measured as a function of the probe wavelength. Depending on the response function, the probe wavelength may have to be dithered (eq.

WO 99/67664 _ 7 - PCT/AU99/00403

to find the maximum response). There are likely to be points where the sensitivity to modulation may be small due to the influence of the previously written grating. An approach is to set the probe wavelength to the desired Bragg wavelength and change the interferometer to maximise the modulation signal. The DC and AC reflectance can then be used to determine the UV dose. The group delay modulation can be measured using an interferometric measurement.

5

10

15

20

25

30

35

The alternative approach would be to measure using an appropriate double modulation approach whereby the wavelength (or phase) of the source z is modulated at a frequency θ_1 around a set point (determined from the theoretical spectra) and the modulation response is measured to enhance sharp features, and the grating at the point of writing is modulated at frequency θ_1 by any means which changes the local index at the point of writing, and the reflected signal is phase sensitive detected at the sum or difference frequencies $(\theta_1 \pm \theta_2)$. This generic approach to reflection spectroscopy was developed by Manuel Cardona.

It may be the spectral difference of Fabry-Perot resonances is required, and this could be implemented using a matched Fabry-Perot. The double modulation technique described above gives a spectrum which is sensitive to the region in which the spectrum is being written, and its effect on sharp features (eg Fabry-Perot resonances).

The principles aformentioned can be readily extended to other interferometric writing arrangements. For example, in Fig. 2, there is illustrated and arrangement 30 which is derived from the aforementioned Ouellette et. al. reference as disclosed by the aforementioned Stepanov et. al. reference. In this arrangement, the elements having the same function as in Fig. 1 have their numbers retained. In the arrangement 30, the difference is that a Sagnac type interferometric arrangement is utilized wherein a phase

WO 99/67664 - 8 - PCT/AU99/00403

mask 31 splits a coherent input beam into two separate beams one of which is phase modulator 12 with respect to the other as is taught by Stepanov et. al. However, the principles of Fig. 1 are extended to this arrangement wherein the fibre 14 is interconnected to an analyser 16 which is in turn interconnected to a computer system 17 which controls the translation 19, 20 of the mirrors 8, 9 in addition to the intensity via intensity modulator 3 and the phase via phase modulator 12 so as to provide for an improved grating. The real time control principles as aforementioned can be utilized in this arrangement.

5

10

15

It would be appreciated by a person skilled in the art that numerous variations and/or modifications may be made to the present invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects to be illustrative and not restrictive.

We Claim

25

- 1. A method of forming a complete grating structure on a photosensitive waveguide comprising the steps of:
- (a) writing an initial portion of said grating structure on said waveguide;
 - (b) testing the properties of said initial portion to determine a series of parameters of said initial portion;
- (c) utilizing said parameters to alter the
 characteristics of a subsequently written portion of said
 grating structure to provide for an improved form of
 grating structure;
 - (d) iterating said steps (a) to (c) so as to form said complete grating structure.
- 2. A method as claimed in claim 1 wherein said writing is performed utilizing a coherence pattern formed from the interference of two coherent beams on said waveguide.
- 3. A method as claimed in claim 1 wherein said characteristics include the intensity or phase of said subsequently written portion.
 - 4. A method as claimed in any previous claim wherein said testing includes determining the spectral reflectance response, or part thereof, of said initial portion or subsequently written portions.
 - 5. A method as claimed in any previous claim wherein said testing includes determining the spectral transmittance response, or part thereof, of said initial portion or subsequently written portions.
- 30 6. A method as claimed in any previous claim wherein said characteristics include determining the phase delay spectral response, or part thereof, of said initial portion subsequently written portions.
- 7. A method as claimed in any previous claim wherein said step (a) further comprises the step of locally perturbing the intensity, phase or frequency of said

grating structure and said step (b) comprises measuring said local perturbation.

- 8. A method as claimed in claim 7 wherein said local perturbation comprises a periodic modulation.
- 9. A method as claimed in any previous claim wherein said testing comprises averaging portions of the reflectance, transmittance or group delay spectra of said initial portion subsequently written portions.
- 10. A method as claimed in claim 7 wherein said10 testing step further comprises measuring sum and difference frequencies for said modulation.

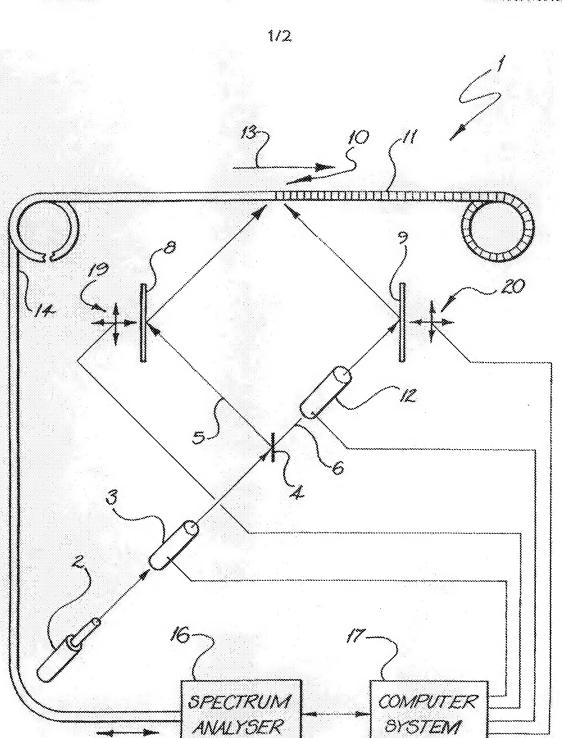
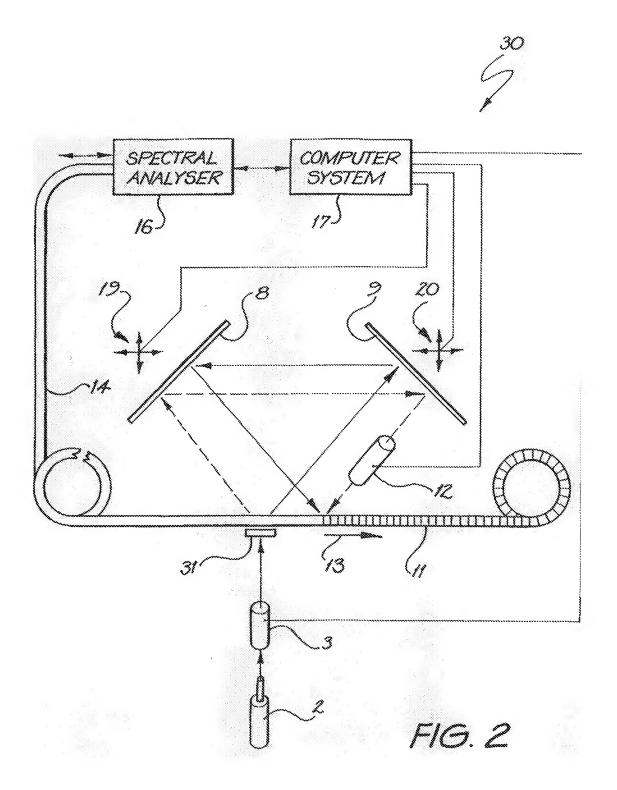


FIG. 1



INTERNATIONAL SEARCH REPORT

International application No. PCT/AU 99/00403

А.	CLASSIFICATION OF SUBJECT MATTER	L				
Int Cl ⁶ :	G02B 5/20	•				
According to	International Patent Classification (IPC) or to be	th national classification and IPC				
В.	FIELDS SEARCHED					
Minimum docu IPC G02B 5	mentation searched (classification system followed by //-, 6/-, G02F	classification symbols)				
Documentation AU: IPC AS	searched other than minimum documentation to the eABOVE	extent that such documents are included in t	he fields searched			
WPAT and J	base consulted during the international search (name APIO:[WAVE: OR LIGHT: (W) GUIDE:] ING: AND (TEST: OR COMPAR: OR CO	OR [OPTIC: (W) FIBRE: OR FIBE	R:] AND BRAGG			
С.	DOCUMENTS CONSIDERED TO BE RELEVAN	T				
Category*	Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim N					
A	A DE 19605062 A (TECH UNIVERSITY OF DRESDEN) 28 August 1997					
A	EP 843186 A (NORTHERN TELECOM LTD) 20 May 1998					
A	A GB 2295689 A (NORTHERN TELECOM LTD) 5 June 1996					
	Further documents are listed in the continuation of Box C	X See patent family an	nex			
"A" docum not co "E" earlie the in "L" docum or wh anoth "O" docum exhib "P" docum date t Date of the act	nent defining the general state of the art which is insidered to be of particular relevance rapplication or patent but published on or after ternational filing date ment which may throw doubts on priority claim(s) ich is cited to establish the publication date of er citation or other special reason (as specified) ment referring to an oral disclosure, use, ition or other means ment published prior to the international filing out later than the priority date claimed mual completion of the international search	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family Date of mailing of the international search report 2 2 JUN 1999				
AUSTRALIAN PO BOX 200 WODEN AC AUSTRALIA	ling address of the ISA/AU N PATENT OFFICE F 2606 (02) 6285 3929	Authorized officer SUSAN T. PRING Telephone No.: (02) 6283 2203				

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No. PCT/AU 99/80403

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Do	cument Cited in Search Report				Family Member
DE	19605062	NONE			
GB	2295689	NONE			
EP	843186	JP	10/239537	US	5837169

END OF ANNEX